< Back to Results **Eric R Judge** ERIC RICARDO JUDGE CRD#: 2282538 **EDWARD JONES** Broker Regulated by CRD#: **250** 25 Concord St IA Investment Adviser 🗓 GLASTONBURY, CT 06033 27 Years of Experience 6 Firms Disclosure 20 **Exams Passed** State Licenses Disclosure(s) View By: Date Customer Dispute Denied 5/9/2022

Allegations

Client alleges the FA made an unsuitable recommendation to withdraw funds from a Traditional IRA that resulted in tax consequences to the client.

Damage Amount Requested

\$14,000.00

Broker Comment

After completion of the firm's investigation into client's allegations, claim was denied.

Examination(s)

State Securities Law Exam

В	IA	Series 66 - Uniform Combined State	Jun 20, 2014
		Law Examination	
В		Series 63 - Uniform Securities Agent	Sep 11, 2001
D		State Law Examination	

General Industry/Products Exam

В	SIE - Securities Industry Essentials Examination	Oct 1, 2018
В	Series 7 - General Securities Representative Examination	May 28, 2014
В	Series 6 - Investment Company Products/Variable Contracts Representative Examination	Feb 9, 2001
В	Series 52 - Municipal Securities Representative Examination	Oct 23, 1992

Principal/Supervisory Exam

	Series 26 - Investment Company	Oct 31, 2001
В	Products/Variable Contracts Principa	
	Examination	

Additional information including this individual's professional designations is available in the Detailed Report.

License(s)

State Registrations					SRO Registrations		
В	Arizona	В	Minnesota		В	FINRA	
В	California	В	New Jersey		В	NYSE American LLC	
В	Connecticut	В	New York		В	Nasdaq Stock Market	
IA	Connecticut	В	North Carolina		В	New York Stock Exchange	
В	District of Columbia	В	Rhode Island				
В	Florida	В	South Carolina				
В	Georgia	В	Texas				
В	Maine	IA	Texas				
В	Maryland	В	Vermont				
В	Massachusetts	В	Virginia				
Not all jurisdictions require IAR registration or may have an exemption from registration.							
✓ Current Registration(s)							
B EDWARD JONES (CRD#:250) \$\Blacktriangle 25 Concord St. GLASTONBURY CT.06033							

₹ 25 Concord St, GLASTONBURY, CT 06033

Registered with this firm since 4/15/2014

IA EDWARD JONES (CRD#:250)

₹ 25 Concord St, GLASTONBURY, CT 06033

Registered with this firm since 8/18/2014

Previous Registration(s)

		Name	Location
В	01/02/2013 - 11/06/2013	MML DISTRIBUTORS, LLC (CRD#:38030)	ENFIELD, CT
В	08/21/2012 - 01/02/2013	HARTFORD SECURITIES DISTRIBUTION COMPANY, INC. (CRD#:37819)	SIMSBURY, CT
В	01/01/2009 - 09/28/2010	HARTFORD SECURITIES DISTRIBUTION COMPANY, INC. (CRD#:37819)	HARTFORD, CT
В	08/09/2005 - 01/01/2009	HARTFORD EQUITY SALES COMPANY INC. (CRD#:6604)	HARTFORD, CT
В	02/12/2001 - 07/05/2005	TOWER SQUARE SECURITIES, INC. (CRD#:833)	EL SEGUNDO, CA
В	10/26/1992 - 10/13/1998	ADVEST, INC. (CRD#:10)	HARTFORD, CT

Additional Information

The content of this summary, and the available detailed report, is governed by FINRA Rule 8312, and is primarily based on information filed on **uniform registration forms**. Rule 8312, amendments to the rule and notices related to U.S. Securities and Exchange Commission approval orders, can be viewed **here**.

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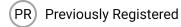
B Broker

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Individuals who work for broker-dealers - the sales personnel are commonly referred to as brokers.

IA Investment Adviser

An investment adviser is paid for providing advice about securities to clients. In addition, some investment advisers manage investment portfolios and offer financial planning services. It is common for a financial professional to act as both a broker and an investment adviser. Because of this, we include investment advisers on BrokerCheck, and provide links to the SEC's Investment Adviser Public Disclosure (IAPD) website so you can research further.



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