

*This brochure supplement provides information about Stephen A. Mendel that supplements the Vested Legacy Wealth Management, L.P. brochure. You should have received a copy of that brochure. Please contact Stephen A. Mendel if you did not receive Vested Legacy Wealth Management, L.P.'s brochure or if you have any questions about the contents of this supplement.*

*Additional information about Stephen A. Mendel is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Vested Legacy Wealth Management, L.P.**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Stephen A. Mendel**

Personal CRD Number: 4593474

Investment Adviser Representative

Vested Legacy Wealth Management, L.P.  
1155 Dairy Ashford, Suite 104  
Houston, TX 77079  
(832) 465-6040  
[steve@mendellawfirm.com](mailto:steve@mendellawfirm.com)

UPDATED: 05/03/2021

## Item 2: Educational Background and Business Experience

**Name:** Stephen A. Mendel                      **Born:** 1953

### **Educational Background and Professional Designations:**

#### **Education:**

JD Law, South Texas College of Law - 1986  
Bachelor of Architecture, University of Texas - 1977

#### **Business Background:**

12/2014 - Present                      President  
Vested Legacy Wealth Management, L.P.

03/1995 - Present                      President  
The Mendel Law Firm, L.P.

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Stephen A. Mendel is an attorney and practices law in the State of Texas. From time to time, Mr. Mendel will offer clients advice, services, or products regarding legal services that are rendered by his law firm. Vested Legacy Wealth Management, L.P. acts in the best interest of the client.

Advisory clients are in no way required to utilize the services of any representative of Vested Legacy Wealth Management, L.P. in their capacity as an attorney.

Stephen A. Mendel is also a real estate broker or agent. From time to time, Mr. Mendel will offer his real estate clients advice, services, or products from this

activity. Advisory clients should be aware that real estate services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Vested Legacy Wealth Management, L.P. acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Advisory clients are in no way required to utilize the services of any representative of Vested Legacy Wealth Management, L.P. in their capacity as a real estate broker or agent.

Stephen A. Mendel spends on average forty (40) hours per week of his time in the rendition of legal services for his law firm clients. Mr. Mendel considers the practice of law to be his primary business.

### **Item 5: Additional Compensation**

Stephen A. Mendel does not receive any economic benefit from any person, company, or organization, other than Vested Legacy Wealth Management, L.P. in exchange for providing clients advisory services through Vested Legacy Wealth Management, L.P..

### **Item 6: Supervision**

As the only owner and representative of Vested Legacy Wealth Management, L.P., Stephen A. Mendel supervises all activities of the advisory firm. Stephen A. Mendel's contact information is on the cover page of this disclosure document. Stephen A. Mendel adheres to required regulations regarding the activities of an Investment Adviser Representative and follows the policies and procedures outlined in the advisory firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Stephen A. Mendel has NOT been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Stephen A. Mendel has NOT been the subject of a bankruptcy petition in the past ten years.